

Message Archiver

Email and Instant Message Archiving Solution

Never Lose Email Again Stay Compliant and in Control

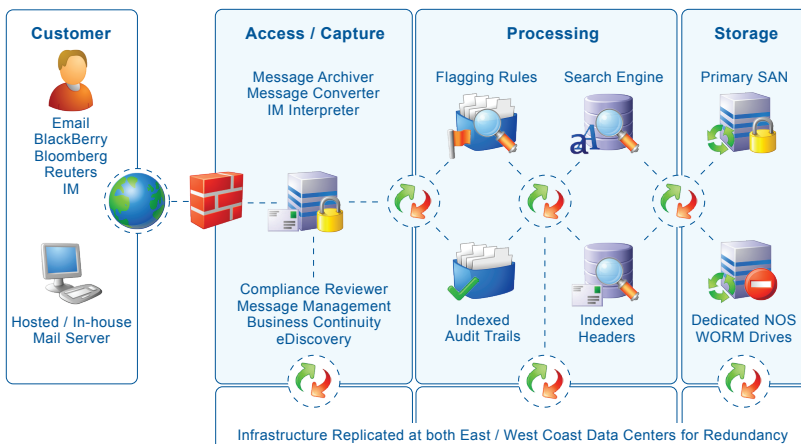
Through a partnership with Global Relay, we offer the Message Archiver, an industry-leading financial advisor archiving and monitoring solution, to securely store email and instant message (IM) for regulatory compliance to address IIROC (IDA) requirements, SEC audits and eDiscovery.

Whether communicating with investors, clients or employees, email and instant messaging have become principal business tools. Retention and management of these records is essential to protect your firm's intellectual capital and for adhering to best practices for investor comfort and regulatory compliance.

The Message Archiver & Compliance Reviewer

Global Relay's Message Archiver provides secure, off-site message archiving and long-term storage with online access. A tamperproof copy of all incoming, internal and outgoing electronic data, including email and attachments, instant messaging, e-fax, BlackBerry, Bloomberg, Reuters and any imported legacy email, is captured, replicated and unified in the Message Archiver. The archived data is mirrored between geographically isolated SAS 70 Certified Verizon Data Centers. Simple web-based search and retrieval tools provide rapid access by compliance officers and employees to current and historical email records, even if the original has been deleted. The Compliance Reviewer provides real-time monitoring and audit tools for regulatory compliance and eDiscovery.

Global Relay's Email Archiving Solution



Global Relay is a leading provider of hosted message archiving, compliance and continuity services, delivering solutions to 2,000 organizations worldwide. The Forrester Wave: Messaging Archiving Hosted Services, Q1, 2008 named Global Relay as the only "Strong Performer" and ranked Global Relay's current offering Number 1 in 4 of 6 categories: security, message capture, ease of use and supervision.

Features & Benefits

Message Management

- secure, centralized, off-site storage
- online user access for self-service search
- rapid search and retrieval via full text indexing and search engine technology
- searches on message, headers, and all attachments
- messages are serialized, time-date stamped
- eliminates PST files and migrates existing data to archive
- Administrator-controlled access rights
- eliminates mailbox size limitations
- multi-lingual interface

Compliance & eDiscovery

- meets compliance, audit, and litigation rules (IIROC, UMIR, FINRA, SEC, FRCP, SOX, privacy)
- tamperproof WORM back-up with write-verification ensures message authenticity
- unified online search, retrieval, monitoring
- audit tools for policy enforcement
- advanced dual encryption to ensure privacy, confidentiality and non-disclosure
- full audit trail reports on email history
- legal case management & eDiscovery tools

Business Continuity & Disaster Recovery

- geographically isolated offsite backup
- acts as a secondary mail system to send, receive, compose & restore email
- One-Click Recovery to Outlook

Implementation

- Software-as-a-Service (SaaS) model
- no software or hardware required
- seamless implementation within hours
- archive supports all mail platforms (Exchange, Notes, Unix, SMTP)
- instant messaging (AOL, MSN, Yahoo, Jabber) capture
- BlackBerry, Bloomberg, and Reuters messaging capture

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